

**CLASS TITLE: SENIOR BANK EXAMINER**

**Class Code: 02611300**

**Pay Grade: 28A**

**EO Code: B**

**CLASS DEFINITION:**

**GENERAL STATEMENT OF DUTIES:** To conduct fiscal examinations of the operations, books and records of state chartered financial institutions, R.I. bank holding companies, credit unions, lenders, loan brokers, small loan lenders, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers and debt management companies; and to serve as the in-charge examiner on a regular or assigned basis by coordinating and reviewing the work of professional examiners during the course of fiscal examinations involving banking ; and to do related work as required.

**SUPERVISION RECEIVED:** Works under the general supervision of a superior from whom assignments are received in general outline form; work is usually reviewed upon completion for conformance to law, rules and regulations

**SUPERVISION EXERCISED:** Serves as the in-charge examiner by coordinating and reviewing the work of professional examiners, as well as, providing technical guidance/direction and assistance on a regular or assigned basis.

**ILLUSTRATIVE EXAMPLES OF WORK PERFORMED**

To conduct fiscal examinations of the operations, books and records of state chartered financial institutions, R.I. bank holding companies, credit unions, lenders, loan brokers, mortgage loan originators, small loan lenders, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers and debt management companies.

To serve as the in-charge examiner on a regular or assigned basis by coordinating and reviewing the work of professional examiners during the course of fiscal examinations involving banking and/or securities.

To examine and verify assets and liabilities consisting of cash, bonds, stocks, mortgages and other loans, capital stock accounts, surplus accounts, deposits, income and expense accounts, and other fiscal records.

To prepare routine and special reports of fiscal examinations.

To compile consolidated statements, comparative statements, and similar statistical data.

To do related work as required.

**REQUIRED QUALIFICATIONS FOR APPOINTMENT:**

**KNOWLEDGES, SKILL, AND CAPACITIES:** A working knowledge of the state and federal laws and regulations pertaining to state chartered financial institutions, R.I. bank holding companies, credit unions, lenders, loan brokers, small loan lenders, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers and debt management companies; a working knowledge of the principles and methods used in the examination of the records of such concerns; the ability to conduct banking examinations and prepare reports thereon covering the scope and findings; the ability to serve as the in-charge examiner

by coordinating and reviewing the work of professional examiners; the ability to provide technical guidance/direction and assistance to subordinate examiners; and related capacities and abilities.

**EDUCATION AND EXPERIENCE:**

Education: Such as may have been gained through: graduation from a four year college of recognized standing with a major in accounting; and

Experience: Such as may have been gained through: employment at the level of a Bank Examiner in the conducting of fiscal examinations of state chartered financial institutions, R.I. bank holding companies, credit unions, lenders, loan lenders, small loan lenders, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers and debt management companies.

Or, any combination of education and experience that shall be substantially equivalent to the above education and experience.

Class Revised: March 10, 2013